CERTIFICATE OF M	MAILING BY "EXPRESS N	MAIL" (37 CFR 1.10)	Docket No.
Applicant(s): HUNTER			1118/174
0	Filtra Data		Crown Art II-1
Serial No.	Filing Date	Examiner	Group Art Unit
Not Yet Assigned	Herewith	Not Yet Assigned	Not Yet Assigned
Invention: METHOD FO	OR PERFORMING MICROASSA	AYS	
			2
			200
		<del></del>	**************************************
			5 =
l la a a la compania de la compania	- Ditt ID 4 4 A Posti .	Total and Control of the Control of	
I hereby certify that this	Divisional Patent Application,	Information Disclosure Statement (Identify type of correspondence)	and related papers
is being deposited with	the United States Postal Service	ce "Express Mail Post Office to Ad	Idressee" service under
37 CFR 1 10 in an env	elone addressed to: The Assista	et Commissioner for Patents, Was	hington, D.C. 20231 on
11/10/		on commodition for realising, true	g.o., 210. 2020 . o
(Date)			
		SAMUEL J. PETUCH	
		(Typed or Printed Name of Person Mailin	g Correspondence)
		Metuchan	<b>L</b> .
	Note the state of	(Signature of Person Mailing Cor	respondence)
		EL487322152U	
		("Express Mail" Mailing Labe	Number)
	Note: Fach paper must be	ve its own certificate of mailing.	
	Note: Each paper must ha	ve its own certificate of maining.	

09/710082

atitin r's Dket N	118/174 PATENT
IN THE UNITED STATES PA	TENT AND TRADEMARK OFFICE
tent application	
Hunter	
ln	ventor(s)
Method for Performing	Microassays -
Title	of invention
e specification of which is being transr	mitted herewith
	ÖR
re application of:	
· · ·	oup No.:
	aminer:
for:	
O1.	
Commissioner for Patents	·
Washington, D.C. 20231	
<b>.</b>	
INFORMATION D	ISCLOSURE STATEMENT
CERTIFICATION UND	ER 37 C.F.R. §§ 1.8(a) and 1.10* Express Mail label number is mandatory;
(wnen using Express Mail, the Express Mail	certification is optional.)
I hereby certify that, on the date shown below, t	•
the color and the date chouse below t	

deposited with the United States Postal S	Service in an en	relope addressed to the Assistant C	ommissioner
for Patents, Washington, D.C. 20231			
37 C.F.R. § 1.8(a)		37 C.F.R. § 1.10°	

with sufficient postage as first class mail.

as "Express Mail Post Office to Addressee"

Mailing Label No. \_\_\_\_\_\_(mandatory.)

#### TRANSMISSION

☐ transmitted by facsimile to the Patent and Trademark Office

Signature Signature

Date: \_\_\_\_\_Nov. 10, 2000

Samuel J. Petuchowski

(type or print name of person certifying)

\*WARNING: Each paper or fee filed by Express Mail must have the number of the "Express Mail" mailing label

placed thereon prior to mailing. 37 C.F.R. § 1.10(b).
"Since the filing of correspondence under § 1.10 without the Express Mail mailing label th reon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Information Disclosure Statem nt [6-1]—page 1 of \_ 8\_)

(1) Within three months of the filling date of a national application; (2) Within three months of the date of entry of the national stage as set forth in § 1.491 in an intermational application; (3) Before the mailing date of a first Office action on the merits, whichever event occurs last."  37 C.F.R. § 1.97(b).  NOTE: "Fach individual associated with the filing and prosecution of a patent application has a city of candor known to that individual to be material to patentability as defined in this section." 37 C.F.R. § 1.55(b).  "Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:  (1) each internation and in the application; (2) each attempt or agent who prepares or prosecutes the application; and (3) every other person who is authoritively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignees or with anyone to whom there is an obligation to assign the application," 37 C.F.R. § 1.56(c).  NOTE: The "duty as described in § 1.55 will be met so long as the information in question was cited by the Office in the manner prescribed by §§ 1.97(b)-(6) and 1.98 before issuance of the patent." Notice of January 9, 1982, 1135 C.G. 13 -25 st 17.  WARNING: "No information disclosure statement may be filed in a provisional application." 37 C.F.R. § 1.51(b).  List of Sections Forming Part of This Information Disclosure Statement  (check sections forming a part of this statement: discard unused sections and number pages consecutively)  1.	NOTE:	"An information dissoure statement shall be considered by the Office if filed by the applicant:	
international application; or  (3) Before the mailing date of a first Office action on the merits, whichever event occurs last."  37 C.F.R. § 1.97(b).  NOTE: "Sech individual associated with the filling and prosecution of a patent application has a duty of candor and good dath in dealing with the Offic, which includes a duty to disclos to the Office all information known to that individual to be material to patentability as defined in this section." 37 C.F.R. § 1.55(a), "Individuals associated with the filling or prosecution of a patent application within the meaning of this section are:  (1) each inventor named in the application; (2) each attorney or agent who prepares or prosecutes the application; and (3) every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application." 37 C.F.R. § 1.56(a).  NOTE: The "duty as described in § 1.56 will be met as proposed to the information in question was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98 before issuance of the patent." Notice of January 9, 1982, 1135 CG. 13 -25 at 17.  WARNING: "No information disclosures statement may be filled in a provisional application." 37 C.F.R. § 1.51(b).  List of Sections Forming Part of This Information Disclosure Statement: (check sections forming a part of this statement: discard unused sections and number pages consecutively)  1.		(1) Within three withs of the filing date of a national application;	
NOTE: "Each Individual associated with the filing and prosecution of a patent application has a duty of candor and good fath in dealing with the Offic, which includes a duty to disclos to the Office all Information known to that individual to be material to patentability as defined in this section." 37 C.F.R. § 1.56(a). "Individuals associated with the filling or prosecution of a patent application within the meaning of this section are:  (I) each inventor named in the application; (2) each attorney or agent who propers or prosecutes the application; and (3) every other person who is substantively involved in the propertion or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application." 37 C.F.R. § 1.56(c).  NOTE: The "duty as described in § 1.56 will be met so long as the information in question was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.57(b)-(d) and 1.95 before issuance of the patent." Notice of January 9, 1992, 1138 C.G. 13 -25 at 17.  WARNING: "No information disclosure statement may be filed in a provisional application." 37 C.F.R. § 1.51(b).  List of Sections Forming Part of This Information Disclosure Statement  The following sections are being submitted for this information Disclosure Statement: (check sections forming a part of this statement: discard unused sections and number pages consecutively)  1. Preliminary Statements  2. Preliminary Statements  3. Statement as to Information Not Found in Patents or Publications  4. Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted  5. Complete Office of Page 10 pages 10 pag		international application; or	
NOTE: "Each individual associated with the filing and prosecution of a patent application has a duty of canding and good faith in dealing with the Offic, which includes a duty to disciso to the Office all Information known to that individual to be material to patentability as defined in this section." 37 C.F.R. § 1.56(a), "Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:  (1) each inventor named in the application; (2) each attorney or agent who prepares or prosecutes the application; and (3) every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application." 37 C.F.R. § 1.56(c).  NOTE: The "duty as described in § 1.56 will be met so long as the information in question was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b) (d) and 1.98 before issuance of the patent." Notice of lanuery 9, 1932, 1135 O.G. 13 -25 at 17.  WARNING: "No information disclosure statement may be filed in a provisional application." 37 C.F.R. § 1.51(b).  List of Sections Forming Part of This Information Disclosure Statement  The following sections are being submitted for this Information Disclosure Statement: (check sections forming a part of this statement: discard unused sections and number pages consecutively)  1. □ Preliminary Statements  2. □ FORMS PTO/SB/08A and 08B (formerly FORM PTO-1449)  3. □ Statement as to Information Not Found in Patents or Publications  4. □ Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted  5. □ Cumulative Patents or Publications  6. □ Copies of Listed Information Items Accompanying This Statement  7. □ Concise Explanation of Non-English Language Listed Information Items (Optional)  10. □ Identification of Person(s) Making This Information Disclosure		(3) Before the mailing date of a first Office action on the merits, whichever event occurs last."	
and good fath in dealing with the Offic , which includes a dury to stacked to the other as intendent known to that individual to be material to patentability as defined in this section. *3 r C.F.R. § 1.56(a). "Individuals associated with the filling or prosecution of a patent application within the meaning of this section are:  (1) each inventor named in the application;  (2) each attorney or agent who prepares or prosecutes the application; and  (3) every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application." 37 C.F.R. § 1.58(c).  NOTE: The "duty as described in § 1.58 will be met so long as the information in question was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98 before issuance of the patent." Notice of January 9, 1992, 1193 CG, 13 -25 at 17.  WARNING: "No Information disclosure statement may be filed in a provisional application." 37 C.F.R. § 1.51(b).  List of Sections Forming Part of This Information Disclosure Statement  The following sections are being submitted for this Information Disclosure Statement: (check sections forming a part of this statement: discard unused sections and number pages consecutively)  1. □ Preliminary Statements  2. □ FORMS PTO/SB/08A and 08B (formerly FORM PTO-1449)  3. □ Statement as to Information Not Found in Patents or Publications  4. □ Identification of Prior Application in Which Listed Information Was Aiready Cited and for Which No Copies Are Submitted or Need Be Submitted  5. □ Cumulative Patents or Publications  6. □ Copies of Listed Information Items Accompanying This Statement  7. □ Concise Explanation of Non-English Language Listed Information Items  7. □ Concise Explanation of Findish Language Documents  9. □ Concise Explanation of Findish Language Documents  9. □ Concise Explanation of English Language Listed Information Items (complete		37 C.F.R. § 1.97(b).	
"Individuals associated with the filling or prosecution of a patent application within the meaning of this section are:  (i) each inventor named in the application;  (2) each storney or agent who prepares or prosecutes the application; and  (3) every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application." 37 C.F.R. § 1.56(c).  NOTE: The "duty as described in § 1.56 will be met so long as the information in question was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98 before issuance of the patent." Notice of January 9, 1992, 1135 CG, 13 –25 at 17.  WARNING: "No information disclosure statement may be filed in a provisional application." 37 C.F.R. § 1.51(b).  List of Sections Forming Part of This information Disclosure Statement: (check sections forming a part of this statement: discard unused sections and number pages consecutively)  1.	NOTE:	and need fight in dealing with the Offic which includes a duty to disclos to the Office all information	
(2) each attorney or agent who prepares or prosecutes the application; and (3) every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application." 37 C.F.R. § 1.58(c).  NOTE: The "duty as described in § 1.56 will be met so long as the information in question was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98 before issuance of the patent." Notice of January §, 1992, 1135 O.G. 13 -25 at 17.  WARNING: "No information disclosure statement may be filled in a provisional application." 37 C.F.R. § 1.51(b).  List of Sections Forming Part of This Information Disclosure Statement  The following sections are being submitted for this Information Disclosure Statement:  (check sections forming a part of this statement: discard unused sections and number pages consecutively)  1. Preliminary Statements  2. FORMS PTO/SB/08A and 08B (formerly FORM PTO-1449)  3. Statement as to Information Not Found in Patents or Publications  4. Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted  5. Cumulative Patents or Publications  6. Copies of Listed Information Items Accompanying This Statement  7. Concise Explanation of Non-English Language Listed Information Items  7A. POSearch Report  7B. English Language Version of EPO Search Report  8. English Language Version of EPO Search Report  9. Concise Explanation of Person(s) Making This Information Disclosure Statement  (complete the following, if appropriate)  1. respectively, have been continued on ADDED PAGE(S).  NOTE: "Once the minimum requirements are met, the examiner has an obligation to consider the Information." Notice of April 20, 1992 (1138 O.G. 37-41, 37).		"Individuals associated with the filing or prosecution of a patent application within the meaning of	
(3) every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application." 37 C.F.R. § 1.58 (vil) be met so long as the information in question was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98 before issuance of the patent." Notice of January 9, 1992, 1135 C.G. 13 - 25 at 17.  WARNING: "No information disclosure statement may be filed in a provisional application." 37 C.F.R. § 1.51(b).  List of Sections Forming Part of This Information Disclosure Statement  The following sections are being submitted for this Information Disclosure Statement: (check sections forming a part of this statement: discard unused sections and number pages consecutively)  1. □ Preliminary Statements  2. □ FORMS PTO/SB/08A and 08B (formerly FORM PTO-1449)  3. □ Statement as to Information Not Found in Patents or Publications  4. □ Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted  5. □ Cumulative Patents or Publications  6. □ Copies of Listed Information Items Accompanying This Statement  7. □ Concise Explanation of Non-English Language Listed Information Items  7A. □ EPO Search Report  8. □ English Language Version of EPO Search Report  8. □ English Language Version of EPO Search Report  9. □ Concise Explanation of English Language Documents  9. □ Concise Explanation of Person(s) Making This Information Items (Optional)  10. □ Identification of Person(s) Making This Information Disclosure Statement  (complete the following, if appropriate)  Sections  7. □ Concise Explanation of Concise Explanation of English Language Statement (6-1)—page 2 of 8 □ (Information Disclosure Sta		(1) each inventor named in the application;	
and who is associated with the inventor, with the assignee or with anyone to whom maler is all obligation to assign the application." 37 C.F.R. § 1.56(c.)  NOTE: The "duty as described in § 1.56 will be met so long as the information in question was cited by the Office or submitted to the Office in the mainer prescribed by §§ 1.97(b)-(c) and 1.98 before issuance of the patent." Notice of January 9, 1992, 1138 O.G. 13 *28 at 17.  WARNING: "No information disclosure statement may be filed in a provisional application." 37 C.F.R. § 1.51(b).  List of Sections Forming Part of This Information Disclosure Statement  The following sections are being submitted for this Information Disclosure Statement: (check sections forming a part of this statement: discard unused sections and number pages consecutively)  1. □ Preliminary Statements  2. □ FORMS PTO/SB/08A and 08B (formerly FORM PTO-1449)  3. □ Statement as to Information Not Found in Patents or Publications  4. □ Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted  5. □ Cumulative Patents or Publications  6. □ Copies of Listed Information Items Accompanying This Statement  7. □ Concise Explanation of Non-English Language Listed Information Items 7A. □ English Language Version of EPO Search Report  8. □ English Language Version of EPO Search Report  9. □ Concise Explanation of English Language Listed Information Items (Optional)  10. □ Identification of Person(s) Making This Information Disclosure Statement  (complete the following, if appropriate)  10. □ Concise Explanation are respectively, have been continued on ADDED PAGE(S).  10. Notice of April 20, 1892 (1138 O.G. 37-41, 37).	<b>و</b> .	(2) each attorney or agent who prepares or prosecutes the application; and	
Office or submitted to the Office in the manner prescribed by \$9.1.37(p)-(p) and 1.39 below to the patent.* Notice of January 9, 1992, 1135 C.G. 13 -25 at 17.  WARNING: "No information disclosure statement may be filed in a provisional application." 37 C.F.R. § 1.51(b).  List of Sections Forming Part of This Information Disclosure Statement  The following sections are being submitted for this Information Disclosure Statement:  (check sections forming a part of this statement: discard unused sections and number pages consecutively)  1.	•	and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application." 37 C.F.R. § 1.56(c).	
List of Sections Forming Part of This Information Disclosure Statement  The following sections are being submitted for this Information Disclosure Statement:  (check sections forming a part of this statement: discard unused sections and number pages consecutively)  1. □ Preliminary Statements  2. □ FORMS PTO/SB/08A and 08B (formerly FORM PTO-1449)  3. □ Statement as to Information Not Found in Patents or Publications  4. □ Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted  5. □ Cumulative Patents or Publications  6. □ Copies of Listed Information Items Accompanying This Statement  7. □ Concise Explanation of Non-English Language Listed Information Items  7A. □ EPO Search Report  7B. □ English Language Version of EPO Search Report  8. □ Translation(s) of Non-English Language Documents  9. □ Concise Explanation of English Language Documents  9. □ Concise Explanation of English Language Listed Information Items (Optional)  10. □ Identification of Person(s) Making This Information Disclosure Statement  (complete the following, if appropriate)  Sections respectively, have been continued on ADDED PAGE(s).  NOTE: "Once the minimum requirements are met, the examiner has an obligation to consider the Information."  Notice of April 20, 1992 (1138 O.G. 37-41, 37).		of the patent." Notice of January 9, 1992, 1135 O.G. 13 -25 at 17.	
The following sections are being submitted for this Information Disclosure Statement:  (check sections forming a part of this statement: discard unused sections and number pages consecutively)  1. □ Preliminary Statements  2. □ FORMS PTO/SB/08A and 08B (formerly FORM PTO-1449)  3. □ Statement as to Information Not Found in Patents or Publications  4. □ Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted  5. □ Cumulative Patents or Publications  6. □ Copies of Listed Information Items Accompanying This Statement  7. □ Concise Explanation of Non-English Language Listed Information Items 7A. □ EPO Search Report  7B. □ English Language Version of EPO Search Report  8. □ Translation(s) of Non-English Language Documents  9. □ Concise Explanation of English Language Listed Information Items (Optional)  10. □ Identification of Person(s) Making This Information Disclosure Statement  (complete the following, if appropriate)  Sections  NOTE: "Once the minimum requirements are met, the examiner has an obligation to consider the information." Notice of April 20, 1992 (1138 O.G. 37-41, 37).  [Information Disclosure Statement [6-1]—page 2 of 8	WARN	ING: "No information disclosure statement may be filed in a provisional application." 37 C.F.R. § 1.51(b).	
(check sections forming a part of this statement: discard unused sections and number pages consecutively)  1. □ Preliminary Statements  2. □ FORMS PTO/SB/08A and 08B (formerly FORM PTO-1449)  3. □ Statement as to Information Not Found in Patents or Publications  4. □ Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted  5. □ Cumulative Patents or Publications  6. □ Copies of Listed Information Items Accompanying This Statement  7. □ Concise Explanation of Non-English Language Listed Information Items  7A. □ EPO Search Report  7B. □ English Language Version of EPO Search Report  8. □ Translation(s) of Non-English Language Documents  9. □ Concise Explanation of English Language Listed Information Items (Optional)  10. □ Identification of Person(s) Making This Information Disclosure Statement  (complete the following, if appropriate)  Sections  7. □ (complete the following, if appropriate)  Sections  7. □ (complete the minimum requirements are met, the examiner has an obligation to consider the information."  Notice of April 20, 1992 (1138 O.G. 37-41, 37).	List.	of Sections Forming Part of This Information Disclosure Statement	
(check sections forming a part of this statement: discard unused sections and number pages consecutively)  1. □ Preliminary Statements  2. □ FORMS PTO/SB/08A and 08B (formerly FORM PTO-1449)  3. □ Statement as to Information Not Found in Patents or Publications  4. □ Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted  5. □ Cumulative Patents or Publications  6. □ Copies of Listed Information Items Accompanying This Statement  7. □ Concise Explanation of Non-English Language Listed Information Items  7A. □ EPO Search Report  7B. □ English Language Version of EPO Search Report  8. □ Translation(s) of Non-English Language Documents  9. □ Concise Explanation of English Language Listed Information Items (Optional)  10. □ Identification of Person(s) Making This Information Disclosure Statement  (complete the following, if appropriate)  Sections  7. □ (complete the following, if appropriate)  Sections  7. □ (complete the minimum requirements are met, the examiner has an obligation to consider the information."  Notice of April 20, 1992 (1138 O.G. 37-41, 37).	The fol	lowing sections are being submitted for this Information Disclosure Statement:	
2. ☐ FORMS PTO/SB/08A and 08B (formerly FORM PTO-1449) 3. ☐ Statement as to Information Not Found in Patents or Publications 4. ☐ Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted 5. ☐ Cumulative Patents or Publications 6. ☑ Copies of Listed Information Items Accompanying This Statement 7. ☐ Concise Explanation of Non-English Language Listed Information Items 7A. ☐ EPO Search Report 7B. ☐ English Language Version of EPO Search Report 8. ☐ Translation(s) of Non-English Language Documents 9. ☐ Concise Explanation of English Language Documents 10. ☑ Identification of Person(s) Making This Information Disclosure Statement  (complete the following, if appropriate)  Sections 7. ☐ respectively, have been continued on ADDED PAGE(S).  NOTE: "Once the minimum requirements are met, the examiner has an obligation to consider the information."  Notice of April 20, 1992 (1138 O.G. 37-41, 37).		(check sections forming a part of this statement: discard unused sections	
2. ☐ FORMS PTO/SB/08A and 08B (formerly FORM PTO-1449) 3. ☐ Statement as to Information Not Found in Patents or Publications 4. ☐ Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted 5. ☐ Cumulative Patents or Publications 6. ☑ Copies of Listed Information Items Accompanying This Statement 7. ☐ Concise Explanation of Non-English Language Listed Information Items 7A. ☐ EPO Search Report 7B. ☐ English Language Version of EPO Search Report 8. ☐ Translation(s) of Non-English Language Documents 9. ☐ Concise Explanation of English Language Documents 10. ☑ Identification of Person(s) Making This Information Disclosure Statement  (complete the following, if appropriate)  Sections 7. ☐ respectively, have been continued on ADDED PAGE(S).  NOTE: "Once the minimum requirements are met, the examiner has an obligation to consider the information."  Notice of April 20, 1992 (1138 O.G. 37-41, 37).	1.	☐ Preliminary Statements	
3. ☐ Statement as to Information Not Found in Patents or Publications 4. ☐ Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted 5. ☐ Cumulative Patents or Publications 6. ☑ Copies of Listed Information Items Accompanying This Statement 7. ☐ Concise Explanation of Non-English Language Listed Information Items 7A. ☐ EPO Search Report 7B. ☐ English Language Version of EPO Search Report 8. ☐ Translation(s) of Non-English Language Documents 9. ☐ Concise Explanation of English Language Documents 10. ☑ Identification of Person(s) Making This Information Items (Optional) 10. ☑ Identification of Person(s) Making This Information Disclosure Statement  (complete the following, if appropriate)  Sections 7. ☐ Page 2 of 8 ☐ (Information Disclosure Statement Information.)  (Information Disclosure Statement Information.)  (Information Disclosure Statement Information.)			
<ul> <li>4. ☑ Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted</li> <li>5. ☐ Cumulative Patents or Publications</li> <li>6. ☑ Copies of Listed Information Items Accompanying This Statement</li> <li>7. ☐ Concise Explanation of Non-English Language Listed Information Items</li> <li>7A. ☐ EPO Search Report</li> <li>7B. ☐ English Language Version of EPO Search Report</li> <li>8. ☐ Translation(s) of Non-English Language Documents</li> <li>9. ☐ Concise Explanation of English Language Listed Information Items (Optional)</li> <li>10. ☑ Identification of Person(s) Making This Information Disclosure Statement</li> <li>(complete the following, If appropriate)</li> <li>Sections</li></ul>	3.	Statement as to Information Not Found in Patents or Publications	
<ul> <li>Copies of Listed Information Items Accompanying This Statement</li> <li>Concise Explanation of Non-English Language Listed Information Items</li></ul>		Identification of Prior Application in Which Listed Information Was Already Cited	
7. Concise Explanation of Non-English Language Listed Information Items 7A. EPO Search Report 7B. English Language Version of EPO Search Report 8. Translation(s) of Non-English Language Documents 9. Concise Explanation of English Language Listed Information Items (Optional) 10. Identification of Person(s) Making This Information Disclosure Statement  (complete the following, if appropriate)  Sections 7. respectively, have been continued on ADDED PAGE(S). NOTE: "Once the minimum requirements are met, the examiner has an obligation to consider the information." Notice of April 20, 1992 (1138 O.G. 37-41, 37).  (Information Disclosure Statement [6-1]—page 2 of 8)	5.		
7A. □ EPO Search Report 7B. □ English Language Version of EPO Search Report  8. □ Translation(s) of Non-English Language Documents  9. □ Concise Explanation of English Language Listed Information Items (Optional)  10. □ Identification of Person(s) Making This Information Disclosure Statement  (complete the following, if appropriate)  Sections 7. respectively, have been continued on ADDED PAGE(S).  NOTE: "Once the minimum requirements are met, the examiner has an obligation to consider the information."  Notice of April 20, 1992 (1138 O.G. 37-41, 37).  (Information Disclosure Statement [6-1]—page 2 of 8	6.		
7B.	7.	☐ Concise Explanation of Non-English Language Listed Information Items	
8.			
8.		7B.   English Language Version of EPO Search Report	
(complete the following, if appropriate)  Sections , respectively, have been continued on ADDED PAGE(S).  NOTE: "Once the minimum requirements are met, the examiner has an obligation to consider the information."  Notice of April 20, 1992 (1138 O.G. 37-41, 37).  (Information Disclosure Statement [6-1]—page 2 of 8	8.		
(complete the following, if appropriate)  Sections , respectively, have been continued on ADDED PAGE(S).  NOTE: "Once the minimum requirements are met, the examiner has an obligation to consider the information."  Notice of April 20, 1992 (1138 O.G. 37-41, 37).  (Information Disclosure Statement [6-1]—page 2 of 8	9.	☐ Concise Explanation of English Language Listed Information Items (Optional)	
Sections , respectively, have been continued on ADDED PAGE(S).  NOTE: "Once the minimum requirements are met, the examiner has an obligation to consider the information."  Notice of April 20, 1992 (1138 O.G. 37-41, 37).  (Information Disclosure Statement [6-1]—page 2 of 8)	10.	Identification of Person(s) Making This Information Disclosure Statement	
NOTE: "Once the minimum requirements are met, the examiner has an obligation to consider the information." Notice of April 20, 1992 (1138 O.G. 37-41, 37).  (Information Disclosure Statement [6-1]—page 2 of 8)		(complete the following, if appropriate)	
FORM 6.1 6-18		TE: "Once the minimum requirements are met, the examiner has an obligation to consider the information."	U
(Rel.76—978 Pub.605) FORM 6-1 6—18		(Information Disclosure Statement [6-1]—page 2 of 8	.)
(Rel.76—9/98 Pub.605) FORM 6-1 6-18			
	(Da) 7	5-9/98 Pub-605) FORM 6-1 6-1	<u>.8</u>

#### S cti n 1. Pr liminary stat m nts

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

# Secti n 4. Identificati n of Pri r Applicati n in Which Listed Informati n Was Alr ady Cit d and f r Which N Copi s Ar Submitt d r Ne d Be Submitted

NOTE: "A copy of any patent, publication or other information listed in an information disclosure statement is not required to be provided if it was previously cited by or submitted to the Office in a prior application, provided that the prior application is properly identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120." 37 C.F.R. 1.98(d).

WARNING: "This exception to the requirement for copies of information does not apply to information which was cited in an international application under the Patent Cooperation Treaty." Notice of April 20, 1992 (1138 O.G. 37-41, 38).

WARNING: "Information which is cited or submitted to the Office in the parent application of a file wrapper continuing application under 37 C.F.R. 1.62 will be part of the file before the examiner and need not be resubmitted in the continuing application. Likewise, the examiner will consider information cited or submitted to the Office in a parent application when examining a continuing application and thus this information need not be resubmitted unless applicant desires the information to be printed on the patent." Notice of April 20, 1992 (1138 O.G. 37-41, 37).

WARNING: While a copy of a non-English language item of information need not be submitted, if it was previously submitted to, or cited by, the Office in a prior application, provided it is properly identified in this statement and this application relies on that earlier filing date under 35 U.S.C. 120, nevertheless, the requirement in § 1.98(a)(3) for a concise explanation of non-English language information would not be satisfied by a statement that a reference was cited in the prosecution of a parent application. The concise explanation must explain the relevance, as presently understood by the person designated in § 1.56(c) most knowledgeable about the content of the information. Notice of January 9, 1992, 1135 O.G. 13-25, at 20.

This application relies, under 35 U.S.C. 120, on the earlier filing date of prior application SN.: 0 9 / 225,583 , filed on \_\_\_\_\_\_ (date).

(complete the following, if applicable)

This application also relies, under 35 U.S.C. 120, on the earlier filing date of prior application SN:: 0 60 /071,179 , filed on <u>Jan. 12</u>, 1998 (date).

The following references were submitted to, and/or cited by, the Office in the prior application(s) and, therefore, are not required to be provided in this application:

REFERENCES CITED IN PRIOR APPLICATION:

AA, AB, AC, AD, AF, AG, AH, AP, AQ, AS, AT, AW, AY, AZ, BA

(Information Disclosure Statement — Section 4. Identification of Prior Application in Which Listed Information Was Already Submitted and for Which No Copies Are Submitted or Need Be Submitted [6-1]—page \_\_6\_\_ of \_8\_\_ )

### S tion 6. C pi s of Listed Informati n Items A community This

NOTE: 37 C.F.R. 1.98(a)(2) requires that any informati n disclosure statement filed under § 1.97 shall include: "A legible copy of: (1) Each U.S. and foreign patent; (ii) Each publication or that portion which caused it to be listed; and (iii) All other information or that portion which caused it to be listed, except that no copy of a U.S. patent application need be included . . . "

NOTE: The wording In § 1.98(a)(2)(iii) makes it clear that the requirement to submit a copy of ach item of information listed in an information disclosure statement does not apply to the citation of a U.S. patent application. Notice of January 9, 1992, 1135 O.G. 13-25, at 14.

Legible copies of all items listed in Forms PTO/SB/08A and 08B (formerly Form PTO-1449) accompany this information statement.

(complete the following, if applicable)

	(complete the following, it applicable)
Exception(s)	to above:
K	Items in prior application, from which an earlier filing date is claimed for this application, as identified in Section 4.
	Cumulative patents or publications identified in Section 5.

(Information Disclosure Statement—Section 6. Copies of Listed Information Items Accompanying This Statement [6-1]—page  $\underline{-7}$  of  $\underline{-8}$ )

(Rel.76-9/98 Pub.605)

FORM 6-1 6-26

## S cti n 10. Identificati n f P rs n(s) Making This Informati n Discussive Stat m nt

(check each	applicable item)
(a) the inventor(s) who signs be	elow
Š	SIGNATURE OF INVENTOR
- (0	type name of inventor who is signing)
<ul><li>(b)  an individual associated with cution of this application (3</li></ul>	n the filing and prose- 37 C.F.R. § 1.56(c))
;	SIGNATURE OF INVENTOR
•	(type name of inventor who is signing)
(c)  the practitioner who signs the information:	below on the basis of
(check each	applicable item)
<ul> <li>□ supplied by the ir</li> <li>□ supplied by an ind of this application</li> <li>□ in the practitioner</li> </ul>	lividual associated with the filing and prosecution n. (37 C.F.R. § 1.56(c))
	SIGNATURE/OF PRACTITIONER
Reg. No.: 37,910	Samuel J. Petuchowski
Tel. No.: (617)443-9292	(type or print name of practitioner)
Customer No.: 002101	P.O. Address
	125 Summer Street, Boston, MA 0211
(Information Disclosure Statement—Section 10.	Identification of Person(s) Making This Information Disclosure Statement [6-1]—page of)

Washington, D.C. 20231.

Date: .

Nov. 10, 2000

JC692 U.S. PTO 09/710082

Punnkitionaula Pankat Na	1118/174 DATENT	
Practitioner's Docket No	PATENT	;
IN THE UNITED STAT	ES PATENT AND TRADEMARK OFFICE	
Patent application		
ofHunter		
forMethod for Perfor	Inventor(s)	
for Method 101 Tellor	Title of Invention	
	OR	
In re application of:		
Application No.: 0 / Filed: For:	Group Art Unit: Examiner:	
Commissioner for Par Washington, D.C. 20231	tents	
-	TODMATION DISCLOSUDE STATEMENT	
	FORMATION DISCLOSURE STATEMENT REE MONTHS OF FILING OR	
	FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))	
three months of the filing date of the national stage as set fort	ement shall be considered by the Office If filed by the applicant: (1) of a national application; (2) within three months of the date of h in § 1.491 in an international application; or (3) before the mallingments, which	f entry
CERTIFICATE OF I	MAILING/TRANSMISSION (37 C.F.R. § 1.8(a))	
I hereby certify that this correspondence		
MAILING	FACSIMILE	
<ul> <li>deposited with the United States Poservice with sufficient postage as first of mail, in an envelope addressed to the</li> </ul>	ostal	
Commissioner for Patents,	BY Express Mail #EL487322152	

Signature
Samuel J. Petuchowski
(type or print name of person certifying)

NOTE: The "filing date of a national application" under 37 C.F.R. § 1.97(b) has two possible meanings. Where the filing is a direct one to the United States Patent & Trademark Office, the filing is defined in 37 C.F.R. § 1.53(b) as "the date on which: (1) A specification containing a description pursuant to § 1.71 and at least one claim pursuant to § 1.75; and (2) any drawing required by § 1.81(a), are filed in the Patent and Trademark Office in the name of the actual inventor or inventors as required by § 1.41." 37 C.F.R. § 1.97(b)(1). On the other hand, an international application that enters the national stage occurs when the applicant has filed the documents and fees required by 35 U.S.C. § 371(c) within the periods set forth in § 1.494 or § 1.495. 35 U.S.C. § 371(c) requires the filing of the following: (1) the basic national fee; (2) a copy of the international application, unless already sent by the International Bureau, and optionally an English translation if filed in another language; and, also optionally (3) amendments under PCT Article 19, with a translation into English if made in another language; (4) an oath or declaration; and (5) a translation into English of any annexes to the International preliminary examination report, if such annexes were made in another language. The optional Items must be submitted later, with surcharges. 37 C.F.R. § 1.97(b)(2).

### IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b).

- NOTE: "No certification or fee is due when the filing is made within the above time period. It is advisable to ensure that no Office action has been malled if the disclosure statement is delayed until after three months from filing."
- NOTE: "An Information disclosure statement will be considered to have been filed on the day it was received in the Office, or on an earlier date of a malling if accompanied by a properly executed certificate of malling under 37 C.F.R. 1.8, or Express Mall certificate under 37 C.F.R. 1.10. An Office action is mailed on the date indicated in the Office action." Notice of April 20, 1992 (1138 O.G. 37-41, 39).
- NOTE: "The term 'national application' includes continuing applications (continuations, divisions, continuations-In-part) so three-months will be measured from the actual filing date of an application as opposed [sic] to the effective date of a continuing application." Notice of April 20, 1992 (1138 O.G. 37-41, 39).
- NOTE: "An action on the merits means an action which treats the patentability of the claims in an application, as opposed to only formal or procedural requirements. An action on the merits would, for example, contain a rejection or indication of allowability of a claim or claims rather than just a restriction requirements (37 C.F.R. 1.142) or just a requirement for additional fees to have a claim considered (37 C.F.R. 1.16(d)). Thus, if an application was filed on Jan. 1 and the first Office action on the merits was not mailed until six months later on July 1, the examiner would be required to consider any proper information disclosure statement filed prior to July 1." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

WARNING: "A petition for suspension of action to allow applicant time to submit an information disclosure statement will be denied as failing to present good and sufficient reasons, since 37 C.F.R. § 1.97 provides adequate recourse for the timely submission of prior art for consideration by the examiner." Notice of July 6, 1992 (1141 O.G. 63).

SIGNATURE OF PRACTITIONER

Reg. No.: 37,910

Tel. No.: (617) 443-9292

Customer No.: 002101

Samuel J. Petuchowski

(type or print name of practitioner)

Bromberg & Sunstein LLP

P.O. Address

125 Summer Street, Boston, MA 02110

(Transmittal of Information Disclosure Statement Within Three Months of Filling or Before Mailing of First Office Action [6-3]—page 2 of 2)

(Rel.17:--10/98 Pub.605) FORM 6-3 6-42